

**International Union of Operating Engineers Local 478 Annuity Fund**  
**WEEKLY 401(k) Employee Deferral Reporting Form**  
**1965 Dixwell Avenue, Hamden, CT 06514**  
**Phone: 203-288-9261**

|                           |  |
|---------------------------|--|
| <b>1. Employer Name :</b> |  |
| <b>Employer Address:</b>  |  |
| <b>Contact Phone #:</b>   |  |
| <b>Employer T.I.N:</b>    |  |

|                                   |  |
|-----------------------------------|--|
| <b><u>IMPORTANT</u></b>           |  |
| <b>2. Payroll Check Date</b>      |  |
| (mo/dd/yr) :                      |  |
| <b>2a. Pay Period Ending Date</b> |  |
| (mo/dd/yr) :                      |  |

[illegible]

9. Employer Ck #:

**Signature**

The employer acknowledges and agrees: (1) to transmit the employee contributions outlined above in accordance with the 401(k) reporting requirements noted below and (2) that the information on this Form is true, accurate and correct, and (3) that this Form constitutes a "written agreement" under the Taft- Hartley Act.

**NOTICE:** The DOL has stated that 401(k) contributions withheld from wages by an employer are due to the Annuity Plan "as of the earliest date on which such contributions can reasonably be segregated from the employer's general assets, but in no event later than the 15th business day of the month following the month in which the amounts were received by the employer." However, it is our understanding that deferrals are considered as being "segregated" once they have been deducted when the weekly payroll is produced. For this reason, we have established a Plan rule requiring that such deferrals be remitted weekly. Late payments are subject to interest charges, penalties and a 15% excise tax.